

State Plan Management Systems and Submission Deadlines for 2015

Questions related to HIOS may be directed to the HIOS Help Desk at 1-877-343-6507 or insuranceoversight@hhs.gov.

Questions related to SERFF may be directed to the SERFF Help Desk at 816-783-8990 or serffplanmgmt@naic.org.

For more information regarding SERFF Plan Management, please visit <http://www.serff.com/hix.htm>.

State	Marketplace Model	System for Collecting QHP Data	Rate & Form Filing Deadline	Plan Binder Deadline				Additional Information
				Health - On Exchange	Health - Off Exchange	Dental - On Exchange	Dental - Off Exchange	
Alabama	FFM	HIOS, State also requires plan data in SERFF	30 days prior to intended effective date	The state requests Binders to be filed within 30 days following submission in HIOS, but no less than 30 days prior to effective date.	No less than 30 days prior to effective date.	The state requests Binders to be filed within 30 days following submission in HIOS, but no less than 30 days prior to effective date.	No less than 30 days prior to effective date.	
Alaska	FFM	HIOS						
Arizona	FFM	HIOS, State also requires plan data in SERFF	On Exchange: 5/1/2014 Off Exchange: 7/15/2014	Same date QHP application is submitted to HIOS.	7/15/2014	No Binder required.	No Binder required.	
Arkansas	SPM	SERFF	6/15/2014	6/15/2014	No Binder deadline, but issuers must submit to the state.	6/15/2014	SADPs: 6/15/2014	
California	SBM	SERFF & state-developed application	Not available at this time.	8/1/2014	N/A	Not available at this time.	N/A	
Covered California	SBM	SERFF & state-developed application		Individual: Service Area & Rate Data Templates, URRT, SRRT, Actuarial Memorandum, and Rates Table Crosswalk are due 6/2/2014. SHOP: Service Area Template is due 6/2/2014. Rate Data Template, URRT, SRRT, Actuarial Memorandum, and Rates Table Crosswalk are due 8/8/2014.	Off Exchange should not be submitted to Covered California.	Individual: Service Area & Rate Data Templates are due 6/2/2014. SHOP: Service Area Template is due 6/2/2014. Rate Data Template is due 7/1/2014.	Off Exchange should not be submitted to Covered California.	
Colorado	SBM	SERFF	Rate: 6/6/2014 - On and Off Exchange Form: 6/6/2014 - On and Off Exchange Network Adequacy: 6/6/2014 - On and Off Exchange	Same date QHP application is submitted to HIOS.	6/6/14 - All Templates and Associate Schedule Items Tab 8/1/14 - Supporting Documentation Tab	6/6/14 - All Templates and Associate Schedule Items Tab 8/1/14 - Supporting Documentation Tab	6/6/14 - All Templates and Associate Schedule Items Tab 8/1/14 - Supporting Documentation Tab	Colorado requires carriers to submit a separate Network Adequacy Filing (under the Filing Type "Form")

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Connecticut	SBM	SERFF	On Exchange: 5/31/2014 Off Exchange: Three months prior to when a carrier intends to market.	6/20/2014	No Binder required.	6/27/2014	6/27/2014 for Issuers requesting 'Certification Only' for Off-Exchange SADPs.	
Delaware	SPM	SERFF	Form Filings: 5/31/2014 Rate Filings: 6/13/2014	6/27/2014	6/27/2014	6/27/2014	6/27/2014	
District of Columbia	SBM	SERFF	6/15/2014	6/15/2014	N/A	6/15/2014	N/A	
Florida	FFM	HIOS & state-developed application						
Georgia	FFM	HIOS, State also requires plan data in SERFF		No specific Georgia deadline. The state does recognize the federal filing window. A SERFF submission date of May 27th would be optimal as Georgia has a 90 day review period established by law in O.C.G.A. Section 33-24-9.	No specific Georgia deadline. Georgia has a 90 day review period established by law in O.C.G.A. Section 33-24-9. Issuers should keep this in mind when attempting to have plans available at a specific future date such as Open Enrollment or January 1, etc.	No specific Georgia deadline. The state does recognize the federal filing window. A SERFF submission date of May 27th would be optimal as Georgia has a 90 day review period established by law in O.C.G.A. Section 33-24-9.	No specific Georgia deadline. Georgia has a 90 day review period established by law in O.C.G.A. Section 33-24-9. Issuers should keep this in mind when attempting to have plans available at a specific future date such as Open Enrollment or January 1, etc.	
Hawaii	SBM	SERFF & state-developed application	On Exchange: 6/9/2014 Off Exchange: N/A	6/9/2014	No Binder deadline, but issuers must submit to the state.	6/9/2014	No Binder deadline, but issuers must submit to the state.	
Idaho	SBM	SERFF	On Exchange: 5/30/2014 Off Exchange: 8/29/2014	6/13/2014	8/29/2014	6/13/2014	8/29/2014	
Illinois	SPM	SERFF	On Exchange: 6/10/2014 Off Exchange: 9/15/2014	6/10/2014	9/15/2014	6/10/2014	9/15/2014	
Indiana	FFM	HIOS, State also requires plan data in SERFF	OHP: 5/11/2014 Non-QHP sold exclusively OFF marketplace: 90 days prior to requested implementation date	6/3/2014* *7 days post 5/27 SERFF acceptance	90 days prior to requested implementation date	Binder not required for Dental products.	Binder not required for Dental products.	
Iowa	SPM	SERFF	7/1/2014	7/1/2014	12/31/2014	7/1/2014	12/31/2014	In order to avoid guaranteed issue for 2015 off exchange plans must be approved by 11/14/14.
Kansas	MPM	SERFF	6/1/2014	6/1/2014	Must be approved by 11/14/2014 to avoid guaranteed issue.	6/1/2014	EHB Certified: 6/1/2014	The state of Kansas requires that all Binders must be submitted with all associated Rate and Form Filing schedule items.
Kentucky	SBM	SERFF & state-developed application	5/1/2014 - Rates 4/1/2014 - Forms	5/27/2014	Binder not required to be submitted.	5/27/2014	Binder not required to be submitted.	Rate & Form dates listed are soft deadlines for KY filers.
Louisiana	FFM	HIOS, State also requires plan data in SERFF	6/27/2014	9/26/2014	9/26/2014	9/26/2014	9/26/2014	

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Maine	MPM	SERFF	5/30/2014	5/30/2014	5/30/2014	5/30/2014	5/30/2014	
Maryland	SBM	State-developed application	5/1/2014	Not Determined	N/A	Not Determined	N/A	
Massachusetts	SBM	SERFF & State-developed application	Form filings due 6/13/14 Rates due 7/1/2014	Carriers new to the Exchange: State-developed application materials due 5/15/14; SERFF form filings due 6/1/14; and rates due 7/1/14. Carriers with current Exchange plans: State-developed application materials due 6/1/14; SERFF form filings due 6/13/14; and rates due 7/1/14.	7/1/2014	Carriers new to the Exchange: State-developed application materials due 5/15/14; SERFF form filings due 6/1/14; and rates due 7/1/14. Carriers with current Exchange plans: State-developed application materials due 6/1/14; SERFF form filings due 6/13/14; and rates due 7/1/14.	7/1/2014 for plans to be effective 1/1/2015	
Michigan	SPM	SERFF	6/9/2014	6/9/2014	9/15/2014 (if ONLY Off Exchange)	6/9/2014	6/9/2014	
Minnesota	SBM	SERFF	6/27/2014	6/27/2014	6/27/2014	6/27/2014	6/27/2014	
Mississippi	FFM/SBM	SERFF	Not available at this time.	Not available at this time.	Not available at this time.	Not available at this time.	Not available at this time.	
Missouri	FFM	HIOS	No specific Missouri deadline.					All policy forms must be filed in SERFF for prior approval.
Montana	MPM	SERFF	On Exchange: 6/10/2014, 5:00 pm MST Off Exchange: 7/29/2014, 5:00 pm MST	6/10/2014, 5:00 pm MST	7/29/2014, 5:00 pm MST	6/10/2014, 5:00 pm MST	7/29/2014, 5:00 pm MST	Off Exchange QDPs that want certification, the deadline is the same as Dental - On Exchange.
Nebraska	MPM	SERFF	6/30/2014	6/30/2014	9/30/2014	6/30/2014	9/30/2014	
Nevada	SBM	SERFF	On Exchange: 6/27/2014 Off Exchange: 7/15/2014	6/27/2014	No Binder required.	7/15/2014	7/15/2014	
New Hampshire	SPM	SERFF	Form Filings: 5/1/2014 Rates: 6/1/2014	6/1/2014	6/1/2014	6/1/2014	6/1/2014	
New Jersey	FFM	HIOS						
New Mexico	SBM	SERFF	Individual - Health/Dental: 3/31/2014 SHOP - Health: 4/30/2014	6/8/2014	9/15/2014	6/8/2014	9/15/2014	
New York	SBM	SERFF & state-developed application for Network Adequacy	6/2/2014 - Dental Rates & Forms 6/13/2014 - Medical Rates & Forms	6/16/2014	6/16/2014	6/16/2014	6/16/2014	

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North Carolina	FFM	HIOS, State also requires plan data in SERFF for medical plans.	<p>No specific North Carolina deadline.</p> <p>The state does recognize the federal filing window. North Carolina requests a 90-day review period. Issuers should keep this in mind when attempting to have plans available or state reviews complete at a specific future date such as the FFM QHP review completion due date, Open Enrollment or January 1, etc. This applies to both medical and SADP filings.</p>	<p>No specific North Carolina deadline.</p> <p>The state does recognize the federal filing window. A SERFF submission date of May 15th would be optimal as North Carolina has a 90-day review period and an internal goal of mid-August for completion of QHP reviews.</p>	<p>No specific North Carolina deadline.</p> <p>North Carolina requests a 90-day review period. Issuers should keep this in mind when attempting to have plans available at a specific future date such as Open Enrollment or January 1, etc.</p>	No Binder is required.	No Binder is required.	
North Dakota	FFM	HIOS						
Ohio	MPM	SERFF	<p>Health, Rates, On Exchange: 5/23/2014</p> <p>Health, Forms, On Exchange: 4/14/2014</p> <p>Health, Forms, Rates, Off Exchange: 8/1/2014</p> <p>Dental, Rates, On Exchange: 5/23/2014</p> <p>Dental, Forms, On Exchange: 4/14/2014</p> <p>Dental, Forms, Rates, Off Exchange: 8/1/2014</p>	6/30/2014	No Binder required.	6/30/2014	No Binder required.	<p>4th Quarter 2014 Small Group On-Exchange Rate Change – 5/23/14</p> <p>4th Quarter 2014 Small Group Off-Exchange Rate Change – 7/21/14</p>
Oklahoma	FFM	HIOS						
Oregon	SBM	SERFF	<p>Medical (On/Off Exchange):</p> <ul style="list-style-type: none"> • Small group medical form Filings – 3/31 • Small group medical rate Filings – 6/2 • Individual medical form Filings – 4/30 • Individual medical rate Filings – 6/2 <p>Dental (ACA certified pediatric dental - On/Off Exchange):</p> <ul style="list-style-type: none"> • Small group dental form Filings – 4/30 • Individual dental form filings – 4/30 • Individual dental rate filings – 4/30 <p>Oregon doesn't have rating authority over group dental products.</p> <p>For all non-ACA certified pediatric dental form Filings, issuers may file at any time throughout the year.</p>	6/30/2014	6/30/2014	6/30/2014	6/30/2014	
Pennsylvania	FFM	HIOS, State also requires plan data in SERFF	7/18/2014	7/18/2014	7/18/2014	7/18/2014	7/18/2014	

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Rhode Island	SBM	SERFF & state-developed application	Medical (on and off Exchange): Variable Forms: 4/15/14 Medical (on and off Exchange): Forms and Rates: 5/15/14 SADP (EHB-compliant on and off Exchange) Forms and Rates: 6/15/14	within 14 days after OHIC approval of filing	Not required	within 14 days after OHIC approval of filing	Not required	
South Carolina	FFM	HIOS, State also requires plan data in SERFF	Medical (On/Off Exchange): 6/4/2014 Medical (Off Exchange): 7/9/20/4 Exchange-Certified SADPs: 6/18/2014	6/18/2014	7/23/2014	6/25/2014	6/25/2014	
South Dakota	MPM	SERFF	6/15/2014	6/15/2014	6/15/2014	6/15/2014	6/15/2014	
Tennessee	FFM	HIOS, State also requires plan data in SERFF	6/27/2014	6/27/2014	No Binder required.	6/27/2014	6/27/2014	
Texas	FFM	HIOS						
Utah	SBM/MPM	SERFF (SHOP - SBM, Individual - MPM)	6/15/2014	6/15/2014	6/15/2014	6/15/2014	6/15/2014	May 15, 2014, Notification to state on handling of 2014 non-compliant plans (discontinue, amend, exit, or transitional).
Vermont	SBM	SERFF	QHP Forms: 3/3/2014 SADP Forms: 4/1/2014 All Exchange Rates: 6/2/2014	7/25/2014	N/A	7/25/2014	N/A	
Virginia	MPM	SERFF	5/9/2014	6/6/2014	6/6/2014	6/6/2014	6/6/2014	
Washington	SBM	SERFF & state-developed application	5/1/2014	Not Determined	Not Determined	Not Determined	Not Determined	
West Virginia	SPM	SERFF	6/15/2014	6/15/2014	6/15/2014	6/15/2014	6/15/2014	
Wisconsin	FFM	HIOS						
Wyoming	FFM	HIOS						